

FINANCIAL PLANNING SERVICES

- INVESTMENTS
- RISK MANAGEMENT
- CHILDREN'S FUTURE
- RETIREMENT PLANNING
- FINANCIAL PLANNING
- TAX SAVING

**INVESTMENT PLANNING PRODUCTS**

- MUTUAL FUNDS
- PENSION SCHEMES
- COMPANY FIXED DEPOSITS
- BONDS
- POST OFFICE SCHEMES

BAJAJ CAPITAL LIMITED

Bajaj House, 97, Nehru Place, New Delhi- 110019

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E-mail: advisorsnetwork@bajajcapital.com Website: www.anindia.com

INFORMATION SHEET OF BAJAJ CAPITAL ADVISORS NETWORK ASSOCIATES**Advisors Network Associates Code (Sub Broker Code)**

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1.Name: _____

2.Contact Person's Name: _____

3.Address for correspondence: _____ (attach proof of address)

_____ Pin:

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4.Permanent/Office Address: _____

_____ Pin:

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5.Date of Birth: DD

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 MM

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 YYYY

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Age (Completed Years)

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6.Other contact details:

(a) Phone Nos: (Res) _____ (Office) _____ (b) Mobile _____

(c) E-mail-ID: _____ (d) Fax _____

7.Educational & Professional Qualifications: _____

AMFI Certification : Yes No IRDA Certification : Yes No Any Other Prof. Qualification: _____

(If yes give ARN No. _____ . Please attach the photocopy.)

8. Identify status(Tick what is applicable): Service Business Retired Housewife Others

Details of above (if possible) e.g.-nature of activity, part time/full time: _____

9 .No of years of experience in selling financial products:

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10.Financial product(Tick the financial products you have experience in selling and also rank in order of presence / convenience in the space provided)

Fixed Deposit _____ Post Office Schemes _____ RBI Bonds _____Mutual Funds _____ Life Insurance _____ General Insurance _____

11.Average mobilisation per month (products category wise) :

Investment Products	Application	Amount	Investment Products	Application	Amount
Fixed Deposit			Life Insurance		
Mutual Funds			RBI Bonds		
Post Office Schemes			General Insurance		

12.PAN/GIR No.:Mandatory* (please attach copy of PAN card) _____

13.Circle/Ward/Dist: _____

14. Choose your Business Pack: Silver Gold Platinum

15. Payment Details: Cheque /DD- No. _____ Bank Name: _____

Amount: _____ Date: _____

16.Bank details : Name: _____ Branch: _____ A/C No.: _____

A/C Type: Saving Current NRE NRO Payment Mode: Cheque DD ECS BC

PHOTOGRAPH

17. Digit Branch Code (MICR No.)

Bank Branch RTGS No.

(Kindly attach a leaf of a cancelled cheque or a photocopy of the cheque leaf. In case you need the payment by ECS.)

18. Suggestions for strengthening our business relationship and increasing your sales mobilization:

Terms & Conditions

1. You will be designated as member of Bajaj Capital Advisors Network.
2. Advisors network associate code (ANA Code) allotted to you has to be stamped at the appropriate place, on the application form(s). Any claim for sub-brokerage/commission will be entertained only in case you have affixed the ANA code allotted to you in the form(s). We are not liable for any error arising out of wrong ANA Code or missing ANA code in application form(s).
3. Sub-brokerage/commission will be paid at rates specified, on our receiving the same from the company. Incentive will be communicated to you for select issues on a case-to case basis.
4. In the event an application of the client has been rejected/refused/returned, you shall not be entitled to receive any sub-brokerage/commission on the same.
5. In the event of pre-mature withdrawal of the invested amount by the client, you agree that we shall have the right to recover and/or adjust the sub-brokerage/commission paid to you on such investments.
6. In consideration of you having been empanelled with BCL, you agree not to accept any investment in cash and/or in your own name and shall at all times obtain and maintain all necessary authorization/registration, as per applicable law.
7. All applications/investment should be collected by means of Accounts payee Cheque / DD made payable to the company/Bankers as specified on the application form. We have not authorized any one to accept cash/ money orders, postal orders or any other forms of remittance on our behalf. We are not liable for any such transaction entered into which involve handling of CASH.
8. We shall endeavor to provide up to date information and a list containing the details of mobilization/investment generated through your ANA code. You appreciate and acknowledge that, we are sharing/would be sharing with you confidential information including but not limited to our business processes and our intellectual property rights (existing or future) (collectively 'Confidential Information'), which has been developed over a period of 44 years or which may be developed in future and accordingly you are required to maintain strict confidentiality of the Confidential Information including but not limited to the client information. Further, you agree that during the term of this appointment/engagement and thereafter, you shall not either directly or indirectly approach/solicit/induce/entice any of the clients, ANA associates/members, employees of BCL to leave BCL and join you. In the event of breach of this clause, we may at our sole discretion withdraw /terminate this letter of appointment and all the benefits attached with it. Under such an eventuality, you would be under an obligation to return us all the Confidential Information in your possession no later than 7(seven) days from the date of such withdrawal/termination.
9. Sub-brokerage/Commission will be paid by cheque/NEFT in the name of the associate, subject to TDS applicable (and not to any nominee or other person) and will be marked- Account payee.
10. Any change in ANA Code or name will not be allowed except at our sole direction. Such requests will not be entertained in respect of business already canvassed and forms submitted to us. Clubbing of ANA Codes is not permissible, except at our sole discretion.
11. We reserve the right to discontinue this appointment without assigning any reason at any point of time. We also reserve the right to alter, amend, add, delete and modify any of the above mentioned terms and conditions. In case of any revision in rates of brokerage payable to us, the sub-brokerage/ commission rates payable to all the ANA/associate will automatically stand revised from such date.
12. You covenant with BCL that at the time of entering into this arrangement there are no existing breaches of law, regulation and/or notifications. During the continuance of this arrangement you agree to abide by all applicable laws.
13. You may appoint such number of persons in any capacity. However, there shall be no privity of contract between BCL and such persons appointed by you and BCL shall not, in any way, be liable or responsible for the actions/omissions of such persons. You agree to hold BCL indemnified from any claims, loss or damage arising from the actions/omissions of yourself and/or such persons.
14. You shall not guarantee any returns to investors nor make any representation or advertisement, in any manner and/or of any nature, to investors without the specific written authorization of BCL and where permitted, shall only use advertising/promotional material authorized/permitted by BCL.
15. BCL reserves the right to terminate this arrangement (i) at any time without assigning any reasons; (ii) immediately in the event of breach of any of the terms and conditions or any applicable law including those prescribed by SEBI, AMFI and/or such other regulatory authority.
16. The appointment made hereunder does not in any manner create or seek to create any employer-employee or master-servant or agent-principal relationship between BCL and yourself. You shall have no right to bind BCL in any manner whatsoever.

I/We have read, noted, accepted the above terms and conditions.

Place:
Date:

Signature of Applicant

Documents To Be Attached

For individuals

Passport size photograph (to be affixed on form)
Copy of PAN card
Address Proof (Voter ID, Driving License, Passport, Electricity/Telephone Bill)
Copy of AMFI Certificate & ARN card

For Corporates

Memorandum & Articles of association (certified copy)
Board Resolution with list of authorized signatories
Latest audited balance sheet
Copy of company PAN card
Form 18 copy (Address Proof)
Copy of AMFI Certificate & ARN card